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Debra M. Szumowski
Name

Signature

DOCKET: CU-4341

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Weihong XIE et al

SERIAL NO: 10/542,885

TITLE: THE SAPONIN FAMILY OF RADIX NOTOGINSHEN
INTRAVENOUS INJECTION, A METHOD FOR PREPARATION
THEREOF

COMPLETION OF PCT/CN2004/000409 filed April 27, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ASSERTION OF SMALL ENTITY STATUS
& REQUEST FOR REFUND UNDER 37 CFR 1.28(a)

Dear Sir:

The applicant claims small entity status (see 37 CFR 1.27).

This request for refund is being made within three months of the late filing fees paid in this application on January 6, 2006.

Please refund one-half of said fee, namely \$695 and credit the same to our Deposit Account No. 12-0400.

Respectfully submitted,

March 24, 2006
Date

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Attorney for Applicant

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Chicago, Illinois 60604
(312) 427-1300

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/542,885		INTERNATIONAL APPLICATION NO. PCT/CN2004/000409		ATTORNEY'S DOCKET NUMBER CU-4341 RJ5	
20. Other items or information:					
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$	
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c))				\$	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0					
All other situations.....\$200					
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b))				\$	
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400					
All other situations.....\$500					
TOTAL OF 21, 22 and 23 =					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				10/02/2006 HOLLAND 00000003 10542885	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	17 - 20 =	18	x \$ 50	\$ 900.00	
Independent claims	2 - 3 =	0	x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 360.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1030.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/3.					
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 1030.00	
01/ 0/2006 LLANDGRA 00000060 120400 10542885				Amount to be refunded:	
01 C:1617 130.00 OP				\$	
02 C:1615 360.00 OP					
03 C:1616 360.00 OP				Amount to be charged	
				Adjustment dates: 10/02/2006 THOLLAND 120400 10542885	
				01/10/2006 LLANDGRA 00000060 120400 10542885	
				01 FC:1617	
				02 FC:1615	
				03 FC:1616	
				360.00 CR	

65.00 OP
450.00 OP
180.00 OP